

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: G. M. Boles et al.

Application No./Patent No.: 10/663,964 Filed/Issue Date: September 16, 2003

Entitled: Method And Apparatus For Enhancing Packet Communication

Jucent Technologies Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014514, Frame 0521, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:


1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

 6/11/2007
Signature Date
Gregory J. Murgia 908-582-7109
Printed or Typed Name Telephone Number
Corporate Counsel
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 20, 2004

PTAS



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BRUCE S. SCHNEIDER
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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/16/2003

REEL/FRAME: 014514/0521
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BOLES, GLENN M.

DOC DATE: 09/16/2003

ASSIGNOR:

HADZIC, ILIJA

DOC DATE: 09/16/2003

ASSIGNOR:

SZURKOWSKI, EDWARD STANELY

DOC DATE: 09/16/2003

ASSIGNEE:

LUCENT TECHNOLOGIES, INC.
600 MOUNTAIN AVENUE
MURRAY HILL, NEW JERSEY 07974-0636

SERIAL NUMBER: 10663964

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JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
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09-25-2003

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Tab settings



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Glenn M. Boles
2. Ilija Hadzic
3. Edward Stanely Szurkowski

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 09/16/2003

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

10/663964

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce S. Schneider

Internal Address: _____

Street Address: 1153 Long Hill Road

City: Stirling State: NJ Zip: 07980-1007

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
- ☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Bruce S. Schneider

Name of Person Signing

Signature

September 16, 2003

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

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10/663964

09/16/03

ASSIGNMENT AND AGREEMENT

For value received, we, Glenn M. Boles of Fords in the County of Middlesex and State of New Jersey, Ilija (no middle name) Hadzic of Millington in the County of Morris and State of New Jersey, and Edward Stanley Szurkowski of Maplewood in the County of Essex and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Method and Apparatus for Enhancing Packet Communication** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Glenn M. Boles
Glenn M. Boles

Date: 9/16/03

United States of America)

State of New Jersey) ss.:

County of Union)

On this 16th day of September, 2003, before me personally came Glenn M. Boles, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Catherine F. Dugan
Notary Public

CATHERINE F. DUGAN
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 1/17/2007

Date: 9/16/03 M. Hadzic
Ilija Hadzic

United States of America)

State of New Jersey) ss.:

County of Union)

On this 16th day of September, 2003, before me personally came Ilija Hadzic, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Catherine F. Dugan
Notary Public

CATHERINE F. DUGAN
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 1/17/2007

Edward Stanley Szurkowski
Edward Stanley Szurkowski

Date: 9/16/2003

United States of America)

State of New Jersey) ss.:

County of Union)

On this 16th day of September, 2003, before me personally came Edward Stanley Szurkowski, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Catherine F. Dugan
Notary Public

CATHERINE F. DUGAN
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 1/17/2007